CONF 610 PHILOSOPHY AND METHODS OF CONFLICT RESEARCH

Semester: Spring 2011 Class Time: Tuesday, 7:20-10:00 pm Location: Arlington Campus, Founders Hall, Rm. 308 Instructor: Dr. Dennis J.D. Sandole tel: (703) 993-1309 e-mail: <dsandole@gmu.edu> and <Dsandole@aol.com>

Course Abstract

This course deals with the role and utility of select approaches and methods for the design and implementation of research on the **causes** of, and optimal **responses** to complex political, social, religious, cultural, economic and other problems that result in intractable, often violent conflicts within and between states. One of the major premises of the course is that these problems could be effectively dealt with by appropriate **development** strategies undertaken by local, national, regional, and global (governmental and nongovernmental) actors in order to transform the corresponding conflicts into positive and sustainable peace. Exploring just what kinds of research approaches and methodologies might be appropriate for these purposes will be the major objective of the course.

COURSE DESCRIPTION

The course deals with the following broad questions:

. **Ontology:** What is the "nature" of the world about which we try to obtain knowledge? For instance, are conflicts in Afghanistan, the Balkans, Chechnya, Columbia, Democratic Republic of the Congo (DRC), Iraq, Israel/Palestine, Ivory Coast, Lebanon, Northern Ireland, Rwanda, Somalia, Sri Lanka, Sudan, U.S., Zimbabwe, or elsewhere reflective of *cultural*, *economic*, *identity*, *political*, *religious*, and/or other dimensions?

. **Epistemology:** What is "valid" *knowledge* for any particular conflict situation? How do we determine the "**facts**" about any conflict and what are the difficulties involved in their acquisition? (For examples of such "facts," see Hewitt, et al. [2010].)

. **Methodology:** How do we conduct research into aspects of *complex conflicts* in order to test existing and/or generate new knowledge about them?

In effect, the course deals with issues in **philosophy of science** such as: (1) why do we perceive what we perceive?; and (2) what is the "nature" of what we perceive? Both issues can impact what we deal with subsequently, such as:

- . our *identification of research problems* associated with particular conflict situations;
- our selection of research methods which may be relevant to a better understanding of, and response to those problems; as well as
- . our design of particular interventions into those conflicts.

Course objectives are to:

(1) Familiarize students of conflict analysis and resolution (CAR) with what research into complex conflicts is all about;

(2) Enable them to better understand and utilize the information they uncover in the research-based conflict/conflict resolution literature, and;

(3) Encourage them to undertake research into conflict and conflict resolution themselves, especially as a *necessary* (but not *sufficient*) condition for preventing or otherwise dealing with conflict, especially protracted, violent conflict situations at the *intra-* and *inter-*state levels.

In summary, therefore, the course deals with the **design** and **conduct** of **conflict research**, the assumption being that we have to first know something about a particular conflict -- i.e., we have to first analyze it -- before we can attempt to do something about it, e.g., prevent, manage, settle, resolve, and/or transform it.

COURSE REQUIREMENTS

1. **Prerequisites:** Acceptance into ICAR's MSc. or Ph.D. program and successful completion of either CONF 501 or 801, or permission of the instructor.

2. Class Format: Classes will follow an interactive seminar format.

Hence, class attendance, participation, and the *completion of* relevant readings prior to class are enthusiastically recommended.

3. Assessment: A mid-term paper, worth 40% of the final grade, will be due on 22 March 2011. A final research design/pilot study, representing 50% of the final grade, will be due on 17 May 2011. Student participation will be worth 10% of the final grade. [Overall in-class participation will determine whether a "borderline" final grade of, for example, B+/A- remains in the B or A category.]

(a) The **midterm paper** (<u>15-20 double-spaced pages</u>) will be a response to the statement and corresponding question, "Truth like beauty lies in the eye of the beholder: Implications for conflict, conflict analysis and conflict resolution (with regard to conflicts included in Hewitt, et al., 2010)?"

(b) The **final paper** (20-25 double-spaced pages) will be a combined research design/pilot study in which:

[1] Each student will do a research design; i.e.,

-- identify and discuss WHAT she or he would want to study for a "Directed Reading" (CONF 697), "Research Methods II" (CONF 611), MSc. thesis, or other project, if given the chance, time, and other resources;

-- WHY he or she would want to study it, spelling out its importance or significance for the field, the community, etc.; and

-- HOW she or he would study it, articulating and discussing the concepts, theories, and research methods relevant to solving the problem implied in the "what." And then:

[2] Each student will then do a *pilot study*: "operationalize" a part of the research design by <u>actually studying</u> a very small scale version of it, not just to acquire experience in data generation, collection, processing and analysis, but also to explore the viability ("do-ability") of the more comprehensive research design.

For example, if one's (a) research design calls for interviewing Palestinians and Israelis about the prospects for "positive peace" in the Middle East, rather than interview hundreds of members of each "identity group," as might be called for in a funded, "statistically representative" version of the project, for the (b) *pilot study* the student might go to the Johnson Center on the main GMU campus, locate five Palestinian and five Israeli/Jewish GMU students and interview them.

Again, the objective of the *pilot study* is to get students to actually *apply* some of the ideas and techniques they would otherwise only read and hear about in class; i.e., to go beyond their research designs *and*, on a small scale, actually conduct systematic research into complex conflicts and their constructive handling.

NOTE: Since the mid-term and final papers are meant, among other things, to demonstrate that students have been in the course, the two papers should contain appropriate references to course concepts and the corresponding readings. For further clarification -- including on the GMU Honor Code (e.g., avoiding any hint of <u>plagiarism</u>) -- students should feel free to consult with the instructor.

(c) Active Student participation. Students will be given opportunities to lead discussions on the required course readings.

Office Hours: Tuesdays, immediately following each class and by appointment.

REQUIRED READINGS

(1) Brewer, John and Albert Hunter (2006). Foundations of Multimethod Research: Synthesizing Styles. Thousand Oaks(California) and London: Sage Publications.

(2) Creswell, John W. (2009). Research Design: Qualitative, Quantitative, and Mixed Methods Approaches (3rd Edition). Los Angeles and London: SAGE

(3) Hewitt, J. Joseph, Jonathan Wilkenfeld and Ted Robert Gurr (2010). *Peace and Conflict 2010*. Boulder (Colorado): Paradigm Publishers.

(4) Hollis, Martin (1994). The Philosophy of Science: An Introduction. London and New York: Cambridge University Press.

(5) Kuhn, Thomas S. (1996). The Structure of Scientific Revolutions. (3rd Ed.). Chicago: University of Chicago Press.

(6) Sandole, Dennis J.D. (1999). Capturing the Complexity of Conflict: Dealing with Violent Ethnic Conflict in the Post-Cold War Era. London and New York: Pinter/Cassell [Continuum International].

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(7) Sandole, Dennis J.D. (2002). "Virulent Ethnocentrism: A Major Challenge for Transformational Conflict Resolution and Peacebuilding in the Post-Cold War Era." *The Global Review of Ethnopolitics*, Vol. 1, No. 4, pp. 4-27, available online at www.ethnopolitics.org.

(8) Sandole, Dennis J.D. (2007). Peace and Security in the Postmodern World: The OSCE and Conflict Resolution. New York and London: Routledge [Taylor & Francis].

(9) Sandole, Dennis J.D. (2009). "Critical Systemic Inquiry (CSI) in Conflict Analysis and Resolution: The Essential Bridge between Theory and Practice." In *Handbook of Conflict Analysis and Resolution* (Dennis J.D. Sandole, Sean Byrne, Ingrid Sandole-Staroste, and Jessica Senehi, eds.). London and New York: Routledge. (Available from the instructor.)

(10) Sriram, Chandra Lekha, John C. King, Julie A. Mertus, Olga Martin-Ortega, and Johanna Herman (2009). *Surviving Field Research: Working in Violent and Difficult Situations*. London and NY: Routledge.

(11) Wilkinson, Richard and Kate Pickett (2009). The Spirit Level: Why Greater Equality Makes Societies Stronger. New York and London: Bloomsbury Press.

RECOMMENDED READING

Nachmias, David and Chava Frankfort-Nachmias (2000). Research Methods in the Social Sciences. (6th Ed.). New York: Worth Publishers.

COURSE STRUCTURE

- 25 Jan I. Introduction.
 - A. Introductions (including Conflict-Relevant Backgrounds).
 - B. Expectations.
 - C. Preferred Research Domains.
 - D. Course Overview.

1/8 Feb II. Indicators of Major Conflict-Relevant Trends Worldwide.

The easiest way to get into conflict research is to look at some relevant data generated by conflict research, e.g.,

- A. Armed Conflict.
- B. Self-Determination Movements.
- C. Democracy.
- D. Peacebuilding (Development) Capacities.
- E. Suicide Terrorism.

READ: Hewitt, et al., 2010; Sandole, 1999, Ch. 1; Sandole, 2007, Ch. 1.

These are dependent variables. How would we begin to explain or understand the behavior of these variables in terms of select independent variables?

15 Feb III. "Realities" Behind the Data?

In other words, what are the "causes" of these behaviors? How do we uncover the "drivers" of conflict?

- A. "Mapping" Potential Causes and Conditions.
 - 1. 3 Levels of Conflict Reality.
 - 2. 3 Pillar Framework of Conflict and Conflict Resolution.

READ: Sandole, 1999, Ch. 6; Sandole, 2007, Ch. 2.

Explanation ("Erklären"): "Action Meaning".
 Understanding ("Verstehen"): "Act Meaning".

READ: Hollis, 1994.

How would we -- in this course -- do our own research to either "explain" or "understand" conflict phenomena? (Mnemonic #1: P[PT²DT].)

- 22 Feb "Mapping," cont'd.
- 1/8 Mar IV. Sources of Influence on Decisionmaking of Researchers, Practitioners, and Disputants (including "suicide terrorists"): <u>Paradigms</u> (P).

- A. Normal Science.
- B. Anomalies.
 - 1. Cognitive Blindness.
 - 2. Cognitive Resistance.
 - 3. Evaluative-Affective Resistance (EAR) 1.
 - 4. Evaluative-Affective Resistance (EAR) 2.
- C. Crisis: Breaking the "Paradigmatic Deadlock".
- D. Clashing Paradigms: Realpolitik ["Hard Power"]
 vs. Idealpolitik ["Soft Power"].
- E. Scientific Revolutions.

READ: Kuhn, 1996; Sandole, 1999, pp. 109-113; Sandole, 2002. (Recommended: Nachmias, 2000, Ch. 1.)

- 15 Mar: Mid-Semester Break.
- 22 Mar: Midterm Papers Due.

22 Mar V. Constructing the Research Design.

- A. Research Design Overview (Mnemonic #2).
 - 1. What?
 - 2. Why?
 - 3. How?
- B. Problem in Need of Solution: The "What" of Research.(P)
 - 1. The General Problem Area.
 - 2. The Specific Problem.
 - a) Units and Levels of Analysis.
 - b) Accessibility to Research.
 - c) Ethical Considerations.
- C. Reasons Underlying Selection (Significance of the Problem): The "Why" of Research.

1. Theoretical Significance. 2. Practical Significance. READ: Creswell, Chs. 1-6; Review Sandole, 1999, Ch. 1; Review Sandole, 2007, Ch. 1; Sandole, 2009. (Recommended: Nachmias, 2000, Chs. 2-4.) 29 Mar D. Researching the Problem: The "How" of Research. E. Type of Study. (\mathbf{T}) 1. Exploratory. 2. Descriptive. 3. Causal. F. Theoretical Setting. (\mathbf{T}) 1. Concepts. 2. Hypotheses. 3. Models. 4. Theories. READ: Creswell, Ch. 7; Sandole, 1999, Ch. 2 (review Ch. 6); Review Sandole, 2007, Ch. 2. (Recommended: Nachmias, 2000, Chs. 5-6.) 5 Apr G. Operational Setting: Data Sources. (**D**) 1. Library/Archival Setting. 2. Natural Setting. a) Surveys (Sampling). b) Field Studies. c) Field Experiments. 3. Artificial Setting. a) Laboratory Experiments. b) Simulation. 4. "Mixed Methods" (Triangulation) READ: Brewer and Hunter, 2006;

Creswell, Chs. 8-10;

		Sandole, 1999, Ch. 3 and App. A; Sandole, 2007, Ch. 4.
		(Recommended: Nachmias, 2000, Chs. 8-13.)
12 Apr	н.	Data Collection.
		1. Other-Generated (Existing) Data.
		a) Personal Documents.b) Statistical Records.c) Mass Communications.d) Scholarly/Literary/Pedagogical Works.
		2. Self-Generated Data.
		a) Interviews.b) Questionnaires.c) Observation.
		READ: Sandole, 1999, Ch. 4 and App. B; Sandole, 2007, Chs. 5-9.
19 Apr	I.	Data "Measurement" and Processing. 1. Translating Data into Indicators.
		 a) Nominal Level of Measurement. Coding (Content Analysis, 1). Computation of Modes and Ranges. b) Ordinal Level of Measurement. Scaling (Content Analysis, 2). Computation of Medians and Quartile Deviations. c) Interval/Ratio Levels of Measurement. Computation of Means and Standard Deviations. Standardization. Transformation.
		2. Reliability and Validity of Indicators.
		READ: Sandole, 1999, Ch. 4 (cont'd) and App. C; Sandole, 2007 (cont'd); Review Hewitt, et al. (Recommended: Nachmias, 2000, Chs. 7, 14-15, 18.)
	J.	Data Analysis.

	2.	Trends. Differences. Relationships.
		a) Correlation. b) Regression.
		READ: Sandole, 1999, Ch. 4 (cont'd); Sandole, 2007 (cont'd); Hewitt, et al.(cont'd). (Recommended: Nachmias, 2000, Chs. 16-17, App. A.)
26 Apr K	. Val	idating the Results.
	1.	Norms of Validation.
		a) Correspondence.b) Pragmatic.c) Coherence.
		Statistical Significance. The <i>Verification - Falsification</i> Controversy.
		READ: Sandole, 1999, Ch. 5 and App. D; Review Kuhn, Ch. 12. (Recommended: Nachmias, 2000, Ch. 19.)
I	. Int	erpreting the Findings.
	1.	The Theoretical Setting Revisited. (\mathbf{T})
		a) Descriptive Theory. b) Prescriptive Theory.
		The Paradigmatic Deadlock Revisited. Understanding and Explanation Revisited.
		READ: Sandole, 1999, Chs. 6-8; Sandole, 2007, Ch. 10.
3/10 May VI.	Furtl	ner Examples of <i>Applied</i> Research.
A	. Qua	alitative: Conducting Research in Violent

Conflict Settings.

READ: Sriram, et al.

B. *Quantitative:* The Real-World Verification of *Structural Violence*.

READ: Wilkinson and Pickett.

10 May VII. Implementing the Research Design.

- A. Pilot Study.
 - 1. What?
 - 2. Why?
 - 3. How?
 - 4. Findings?
 - 5. Implications for Further Study, Practice, Policy, Teaching?
- B. Communicating the Findings: The Research Report.
 - 1. Embedding the Findings in Relevant, User-Friendly Frameworks.

3. Penetrating the Images of Others:

The Effective "Selling" of Ideas and Their Translation into Constructive Action (Part of the "Re-entry Problem").

3. Ethics Revisited.

READ: Review Sandole 1999; Review Sandole, 2002; Review Sandole, 2007; Review Sandole, 2009. (Recommended: Nachmias, 2000, App. B, review Ch. 4.)

17 May RESEARCH DESIGNS/PILOT STUDIES DUE